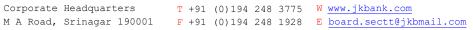
Kashmir, India CIN: L65110JK1938SGC000048



J&K Bank



Ref:-JKB/BS/F3652/2020/015 Date: 24<sup>th</sup> April, 2020

National Stock Exchange of India Ltd Exchange Plaza 5<sup>th</sup> Floor Plot No. C/1 G-Block Bandra Kurla Complex Bandra (E) Mumbai - 400 051 Symbol: J&KBANK

The BSE Ltd. Phiroze Jeejeebhoy Towers Dalal street Mumbai - 400 001 Scrip Code: 532209

## SUB: -COMPLIANCE CERTIFICATE UNDER REGULATION 40(10) OF SEBI (LODR) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2020.

Dear Sirs,

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015, we are enclosing herewith copy of the compliance certificate duly certified by Company Secretary in practice for the half year ended 31st March, 2020.

Thanking You.

Yours faithfully For Jammu & Kashmir Bank Ltd.

(Mohammad Shafi Mir) **Company Secretary** 

## CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

## FOR THE HALF YEAR ENDED 31st MARCH, 2020

I have examined all share transfer deeds, Memorandum of Transfers (MOT's), Registers, files and other documents relating to JAMMU AND KASHMIR BANK LIMITED, maintained by the Registrars KFin Technologies Private Limited (Formerly known as Karvy Fintech Private Limited) at Hyderabad, pertaining to transfer of Equity Shares of the Bank for the period from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Bank, I hereby certify that the Bank during half year ended on 31<sup>st</sup> March, 2020.

- a. That all valid requests for transmission, issue of duplicate shares have been given effect to and all the relevant equity share certificates have been dispatched within the stipulated time.
- b. That all valid requests for transmission, issue of duplicate shares, which were not in order but could be rectified, the necessary objection/intimation letter was sent within the stipulated time and in case of rectification of defect/desired action, the request was given effect to and all relevant certificates were dispatched within the stipulated time from the date of rectification of such defect/desired action by the applicant.
- c. That all valid request for transmission, duplicate issue of shares, which were not in order and could not be rectified were rejected and returned within the stipulated time.
- d. No request was received for consolidation of shares.

Place: Hyderabad Date: 23.04.2020

For DSMR & Associates Company Secretaries SY MORING AT D S M Ram Proprietor C. P. No. 4239 UDIN: A014939B000173933